

**4.4.1 NET CAPITAL BALANCE AS ON 30-09-2021**

| CURRENT ASSETS                                                | VALUE              | ADJ / DISCOUNT    | NET                |
|---------------------------------------------------------------|--------------------|-------------------|--------------------|
| <b>01. CASH &amp; BANK BALANCES</b>                           |                    |                   |                    |
| Cash at Bank - Comprny"s Account(s)                           | 6,204,586          |                   | 6,204,586          |
| Cash at Bank - Clients"s Account(s)                           | 147,020,388        |                   | 147,020,388        |
|                                                               | <b>153,224,974</b> |                   | <b>153,224,974</b> |
| <b>02. MRGIN DEPOSITS</b>                                     |                    |                   |                    |
| Margin Deposit(s )                                            | 51,458,699         |                   | 51,458,699         |
|                                                               | <b>51,458,699</b>  |                   | <b>51,458,699</b>  |
| <b>03. TRADE RECEIVABLES</b>                                  |                    |                   |                    |
| Receivable From Clients - Less: Overdue for more than 14 days | 146,466,221        | 50,158,197        | 96,308,023         |
|                                                               | <b>146,466,221</b> | <b>50,158,197</b> | <b>96,308,023</b>  |
| <b>04. SECURITIES PURCHASE FOR CLIENTS</b>                    |                    |                   |                    |
| Securities Purchase for Clients                               | 20,403,440         |                   | 20,403,440         |
|                                                               | <b>20,403,440</b>  |                   | <b>20,403,440</b>  |
| <b>05. INVESTMENT SECURITIES</b>                              |                    |                   |                    |
| Securities on the name of TREC Holder - Less: 15% Discount    | 125,495,054        |                   | 125,495,054        |
|                                                               | <b>125,495,054</b> |                   | <b>125,495,054</b> |
| <b>TOTAL CURRENT ASSETS</b>                                   | <b>497,048,388</b> | <b>50,158,197</b> | <b>446,890,190</b> |
| CURRENT LIABLITII                                             | VALUE              | ADJ / DISCOUNT    | NET                |
| <b>01. TRADE PAYABLES</b>                                     |                    |                   |                    |
| Payable to Clients - Less: Overdue for more than 30 days      | 144,713,295        | 16,441,919        | 128,271,375        |
|                                                               | <b>144,713,295</b> | <b>16,441,919</b> | <b>128,271,375</b> |
| <b>02. OTHER LIABLITIES</b>                                   |                    |                   |                    |
| Trade Payables Overdue for more than 30 days                  | 16,441,919         |                   | 16,441,919         |
| Other Payables                                                | 42,898,340         |                   | 42,898,340         |
|                                                               | <b>59,340,259</b>  |                   | <b>59,340,259</b>  |
| <b>TOTAL CURRENT LIABLITIES</b>                               | <b>204,053,554</b> | <b>16,441,919</b> | <b>187,611,634</b> |
| <b>NET CAPITALBALANCE</b>                                     |                    |                   | <b>259,278,556</b> |