Liquid Capital Statement

for the month of 30-APR-22

of M/s. HH Misbah Securities (Pvt.) Ltd.

Submission Date 11-MAY-2022 14:53:36

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted Value
		Pak Rupee	Adjustments	value
1	Assets			
	Property & Equipment	15,987,432	15,987,432	
	Intangible Assets	2,500,000		
	Investment in Govt. Securities	2,300,000		
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	III. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities	100 100 100	00 704 400	22 722 227
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	122,493,499	22,701,102	99,792,397
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.	0.1.10.1.100	04.404.400	
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	24,121,439	24,121,439	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.	4 500 000	4 500 000	
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	1,500,000	1,500,000	
	Margin deposits with exchange and clearing house.	25,981,735	0	25,981,735
	Deposit with authorized intermediary against borrowed securities under SLB.			
	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	5,858	5,858	
	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
	Dividends receivables.			
	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	105,000	0	105,000
	PLUS			
	ii. Advance tax to the extent it is netted with provision of taxation. iii. Receivables other than trade receivables	23,306,022	23.306.022	
	Receivables from clearing house or securities exchange(s)	23,300,022	23,300,022	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	E0 074 400	^	E0.074.400
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sneet value. iv. Balance sheet value	58,674,163	0	58,674,163
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	78,097,696	45,913,694	45,913,694
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	8,557,698	0	8,557,698
	ii. Bank balance-customer accounts	105,216,199	0	

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for the month of 30-APR-22

of M/s. HH Misbah Securities (Pvt.) Ltd.

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2 Liabilities 2.1 Trade Payables 2.1 Payable to exchanges and clearing house 3.1 Payable to exchanges and clearing house 3.2 Payable to exchanges and clearing house 3.3 Payable to exchanges and reveraged market products 3.4 Payable to exchanges and reveraged market products 3.5 Payable to customers 4.1 Payable to guitabilities 4. Statutory and regulatory dues 4. Statutory and regulatory dues 5. Statutory and regulatory dues 8. Statutory and regulatory dues 9. V. Current portion of subordinated loans 9. V. Current portion of regulations 9. V. Current portion of subordinated from financial statements 9. V. Current portion of regulations 9. V. Current portion of subordinated from financial institutions. Long term portion of financing obtained from a financial statement financial statements 9. V. Current portion of subordinated from financial statements 9. V. Current portion of subordinated from financial statements 9. V. Current portion of subordinated from financial statements 9. V. Current portion of subordinated from financial statements 9. V. Current portion of subordinated from financial statements 9.	t Adjusted Value	ir Cut/ ustments	Value in Pak Rupee	Head of Account	s.No.
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iii Payable against leveraged market products iii Repayable to customes 141 / 785,134 0 Current Liabilities 1. Statutory and regulatory dues ii. Accruals and other payables 56,307,990 0 iii. Short-term borrowing iv. Current portion of subsocial televisions vi. Povision for bad debts vii. Provision for bad debts viii. Pr					2.1
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i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities 201,591,124 3,500,000 3 Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client—to—client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of:					
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3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:				(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares	
(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of:					2.2
price; the aggregate of:				Net underwriting Commitments	3.3
(ii) the value by which the underwriting commitments exceeds the market price of the securities.					
In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting					
(b) in any other case : 12.5% of the net underwriting commitments				(b) in any other case : 12.5% of the net underwriting commitments	
3.4 Negative equity of subsidiary				Negative equity of subsidiary	3.4
The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)				The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	
exceed the total liabilities of the subsidiary					2.5
Totalgh exertaings agreements and totalgh suiteries					3.5
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency					
3.6 Amount Payable under REPO					
					3.7

Liquid Capital Statement

for the month of 30-APR-22

of M/s. HH Misbah Securities (Pvt.) Ltd.

Submission Date 11-MAY-2022 14:53:36

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open positions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	264,955,617	132,535,547	146,149,762