# Liquid Capital Statement

for the month of 31-JUL-21

### of M/s. HH Misbah Securities (Pvt.) Ltd.

Submission Date 05-AUG-2021 17:04:40

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1 Assets 11 Proporty & Equipment 13 Integration Asset 13 Integration (Conference of the Conference of					
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13   Investment in Court Securities   2,500,000   2,500,000   1,			Pak Rupee	Adjustments	Value
13   Investment in Court Securities   2,500,000   2,500,000   1,	1	Accete			
1.3   Investment in Debt. Securities   2,500,000   2,500,000   1			14.437.230	14.437.230	
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Till Investment in Debt. Securities   Till Investment in Pack of the balance cheek value in the case of tenue to for input from the till Investment in the balance the value, in the case of tenue from 1-3 years.   0			2,300,000	2,300,000	
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11.25% of the balance sheet value, in the case of tenue from 1-3 years.					
In the state of San					
If Isterd 15% or Vall of each securities not he cutoff date as computed by the Securities Exchange for espective securities withchever is higher.		iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
espective securities whichever is higher.  ii. If united, 100% of carginary value.  iii. Subscription money against investment in iPClyffer for Sale. Amount paid as subscription money.  iii. Subscription money against investment in pilc included in the investment or special investment in any asset including the second filest securities.  10, 703,821 to 10, 703,821	1.5	Investment in Equity Securities			
Ill funited 100s of carrying value.			186,627,761	29,998,740	156,629,021
III Subscription money against investment in IPiOlafer for Sale. Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker. In 100% Haicut shall be applied to Value of Irwestment in any asset including shares of Tisled securities.   10,703,821   10,					
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schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in subsidializes  1.7 Investment in associated companies/undertaking  1.8 Investment in associated companies/undertaking  1.9 Investment in associated companies/undertaking  1.9 Investment in associated companies/undertaking  1.9 Investment in associated companies/undertaking  1.8 Investment in associated companies/undertaking  1.9 Investment in undertaking  1.10 Investment in associated companies/undertaking  1.11 Investment in associated companies/undertaking  1.12 Investment in undertaking  1.13 Investment in undertaking  1.14 Investment in undertaking  1.15 Investment in undertaking  1.16 Investment in undertaking  1.17 Investment in undertaking  1.18 Investment in undertaking  1.19 Investment in undertaking  1.10 Investment in undertaking  1.10 Investment in undertaking administration of detections, subsidiaries and other related parties  1.16 Investment in undertaking administration of detections, subsidiaries and other related parties  1.19 Investment in undertaking administration of detections, subsidiaries and other related parties  1.10 Investment in undertaking administration of detections, subsidiaries and other related parties  1.17 Investment in undertaking administration of detections, subsidiaries and other related parties  1.18 Investment in undertaking administration of detections, subsidiaries and other related parties  1.19 Investment in undertaking administration of detections, subsidiaries and other related		Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
Investment in associated companies/undertaking					
Investment in associated companies/undertaking   It listed 20% or Vario of each securities as computed by the Securities Exchange for respective securities whichever is higher.	1.6				
If listed 20% or VaR of each securities as computed by the Securities Exchange for respective securities whichever is higher whichever is higher ii. If unlisted, 100% of net value.    1.8   Statutory or regulatory deposits basic deposits with the exchanges, clearing house or central depository or any other entity.   1.9   Margin deposits with exchange and clearing house.   49,898,649   0   49,898,648   0   0   0   0   0   1.500,000					
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iv. Balance sheet value  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  174,698,671  141,637,925 59,628,33					
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value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances  i. Bank Balance-proprietory accounts  31,989,355  0 31,989,355  ii. Bank balance-customer accounts  174,698,671  0 174,698,671			141 637 025	50 628 335	50 628 335
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts  31,989,355 iii. Bank balance-customer accounts  174,698,671 0 174,698,671			141,037,923	39,020,333	39,020,333
vi. 100% haircut in the case of amount receivable form related parties.         1.18       Cash and Bank balances        31,989,355       0       31,989,355         ii. Bank balance-customer accounts       174,698,671       0       174,698,671		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       31,989,355       0       31,989,355         ii. Bank balance-customer accounts       174,698,671       0       174,698,671					
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ii. Bank balance-customer accounts 174,698,671 0 174,698,671	0		31 989 355	n	31 989 355

# Liquid Capital Statement

for the month of 31-JUL-21

### of M/s. HH Misbah Securities (Pvt.) Ltd.

Submission Date 05-AUG-2021 17:04:40

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	740,308,923	148,684,451	569,243,217
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
2.2	iii. Payable to customers	176,336,272	0	176,336,272
2.2	Current Liabilities			
	i. Statutory and regulatory dues ii. Accruals and other payables	28,179,397	0	28,179,397
	iii. Short-term borrowings	20,179,397	0	20,179,397
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
2.4	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans  i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	3,500,000	3,500,000	
	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period  b. No haircut will be allowed against short term portion which is repayable within next 12 months.  c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP	3,33,3	3,22,10	
2.5	Total Liabilites	208,015,669	3,500,000	204,515,669
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:  (i) Amount deposited by the borrower with NCCPL  (ii) Cash margins paid and  (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting.			
3.4	(b) in any other case : 12.5% of the net underwriting commitments			
	Negative equity of subsidiary  The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)  exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
2.4	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO Repo adjustment			
J. 1	перо аијизинен			

# Liquid Capital Statement

for the month of 31-JUL-21

of M/s. HH Misbah Securities (Pvt.) Ltd.

Submission Date 05-AUG-2021 17:04:40

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
3.8	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selli positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	224 - 22 - 42
3.12	Liquid Capital	532,293,254	145,184,451	364,727,548