Liquid Capital Statement

for the month of 30-JUN-22

of M/s. HH Misbah Securities (Pvt.) Ltd.

Submission Date 06-JUL-2022 13:49:16

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	16,014,032	16,014,032	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
'''	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
1.0	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	115,204,523	17,873,689	97,330,834
	respective securities whichever is higher.		,	
	ii. If unlisted, 100% of carrying value. iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	24,121,439	24,121,439	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,500,000	1,500,000	
1.9	or any other entity. Margin deposits with exchange and clearing house.	45,377,195	0	45,377,195
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	40,011,100	Ü	40,077,100
1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	5,858	5,858	
1.12	etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	70,000	0	70,000
1.15	PLUS	70,000	O	70,000
	ii. Advance tax to the extent it is netted with provision of taxation.	00.070.470	00.070.470	
1.16	iii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s)	23,272,172	23,272,172	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers			
1.17	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	50,992,939	0	50,992,939
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	50,016,337	400,066	400,066
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	8,963,072 204,683,084	0	
	III. PATIK PATALICE-CUSTOTHEI ACCOUNTS	∠∪4,003,084	U	∠∪4,003,∪84

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International Content Section	S.No.	Head of Account			
International Content Section		iii Cash in hand			
Long-term framework and clearing house Payables P	1.19		542,720,651	85,687,256	407,817,190
Trade Psycholes	2				
Payable to exchange and clearing house	2.1				
Expedits regions (processed maked products) 248 588.591 0 248.588.591					
ii Projette to castormes 246,088,981 0 246,					
Statutory and resultative dues 15,834,821 0 15,83			248,688,981	0	248,688,981
Accesses and other payables 15,894,821 0 15,894,821 15,994,8	2.2	Current Liabilities			
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Current portion of subcriticated loss			15,834,821	0	15,834,821
V. Current portion of long term flabilities vi. Portions for Each disblike vi. Long-Term financing vi. Vi. Christophila vi. Long-Term financing vi. Vi. Christophila vi. Vi. Vi. Christophila vi. V					
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vii. Provision for band debits viii. Provision for travarion 3. Non-Current Liabilities as per accounting principles and included in the financial statements 4. Concentration of the control of the control of the control of financing at Long-Term financing debiated from financial institution; Long term protition of financing at Long-Term financing debiated from financial institution; Long term protition of financing but the control of t					
S. Other liabilities as per accounting principles and mekaded in the financial statements					
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Li Long-Term financing a Long-Term financing potalized from financial institution: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff retirement benefits iii. Advance against shares for increases in Capital of Securities broker: 100% halicut may be allowed in respect of advance against shares for increases in Capital of Securities broker: 100% halicut may be allowed in respect of advance against shares for increases in Capital of several properties of the stating authorities against share septiment of the stating authorities of shares against advance and all regulatory requirements relating to the increase in pold up capital have been completed. c. Auditor is suitified that such advances of shares against advance and all regulatory requirements relating to the increase in pold up capital have been completed. c. Auditor is suitified that such advances of shares against advance and all regulatory requirements relating to the increase in pold up capital have been completed. c. Auditor is suitified that such advances of shares against advance and all regulatory requirements relating to the increase in pold up capital have been completed. c. Auditor is suitified that such advances of shares against advance and all regulatory requirements relating to the increase in pold up capital have been completed. c. Auditor is suitified that such advances is against the increase of capital. v. Other liabilities as peer accounting principles and included in the financial statements v. Other liabilities as peer accounting principles and included in the financial statements v. Other liabilities as peer accounting principles and included in the financial statements. v. Other liabilities as peer accounting principles and must clearly reflect the amount to be repaid after 17 months of repending period a Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 17 months. c. In case of early r					
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Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese seceed 10% of the aggregate of amounts receivable from total financese. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) In the case of right issuse: if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and the laticut multiplied by the net underwriting. 3.4 Negative equity of subsidiary The amount by which the underwriting commitments 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency. Net position in foreign currency 3.6 Amount Payable under REPO 3.7 The amount Payable under REPO 3.8 Amount Payable under REPO 3.9 Amount Payable under REPO 3.9 Amount Payable under REPO 3.0 Amount Payable under REPO 3.1 The amount Payable under REPO 3.2 Amount Payable under REPO 3.3 Amount Payable under REPO 3.4 Amount Payable under REPO 3.5 The market position in foreign currency less total liabilities denominated in foreign currency.		b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
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3 Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financess. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Halicut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. in the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Halicut multiplied by the net underwriting (b) In any other case: 12.5% of the net underwriting The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary The amount by which the total assets of the subsidiary Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency					
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The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the enderwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) 5. Foreign exchange agreements and foreign currency positions 5. Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency 3.6 Amount Payable under REPO	3	Ranking Liabilities Relating to:			
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		assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.1		Amount Payable under REPO			
	3.7				

Liquid Capital Statement

for the month of 30-JUN-22

of M/s. HH Misbah Securities (Pvt.) Ltd.

Submission Date 06-JUL-2022 13:49:16

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	259,696,849	67,187,256	143,293,388