### **LIQUID CAPITAL STATEMENT AS ON 30-09-2023**

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1 Δ9	SSETS			
1. A.	Property & Equipment	29,782,338	29,782,338	0
' · '	100% of Net Value	23,102,330	23,102,330	0
	Net Adjusted Value: Nil			
1.2	Intangible Assets	2,500,000	2,500,000	0
	100% of Net Value	2,000,000	_,000,000	
	Net Adjusted Value: Nil			
1.3	Investment in Government Securities	0	0	0
	Diferenct between book value and sale value on the date on the basis of PKRV	•		
	published bt NIFT			
	Net Adjusted Value: Sale value on the date on the basis of PKRV published by NIFT			
1.4	Investment in Debt Securities			
	If listed then:			
	i) 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts	0	0	0
	ii) 7.5% of the balance sheet value, in the case of tenure from 1-3 years.  Net Adjusted Value: Net amount after deducting provisions and haircuts	0	0	0
	iii) 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts	U	U	0
	If unlisted then:			
	i) 10% of the balance sheet value in the case of tenure upto 1 year	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts	~ i	~ i	
	ii 12.5% of the balance sheet value, in the case of tenure from 1-3 years	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts		- 1	
	iii) 15% of the balance sheet value, in the case of tenure of more than 3 years	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
1.5	Investment in Equity Securities			
	i) If listed then 15% or VAR of each security on the cutoff date as computed by the	90,492,441	15,781,487	74,710,954
	NCCPL for respective security whichever is higher. (Provided that if any of these			
	securities are pledged with the securities exchange for maintaining base minimum			
	capital requirement, 100% haircut on the value of eligible securities to the extent of			
	minimum required value of Base minimum capital			
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	ii) If unlisted then 100% of carrying value	0	0	0
4.0	Net Adjusted Value: Net amount after deducting provisions and haircuts			0
1.6	Investment in Subsidiaries 100% of net value	0	0	0
	Net Adjusted Value: Nil			
1.7	Investment in Associated Companies / Undertaking			
' . /	(i) If listed then 20% or VAR of each securities as computed by the Securities	0	0	0
	Exchange for respective securities whichever is higher.	O	0	
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	(ii) If unlisted then 100% of net value.	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
1.8	Statutory or Regulatory Deposits / Basic Deposits with the Exchanges, Clearing	1,704,352	1,704,352	0
	House or Central Depository Company or any other entity	, ,	, ,	
	100% of net value, however any excess amount of cash deposited with securities			
	exchange to comply with requirements of base minimum capital may be taken in the			
	calculation of Liquid Capital			
	Net Adjusted Value: Nil [or any excess cash amount]			
1.9	Margin Deposits with Exchange and Clearing House	73,315,562	0	73,315,562
	Net Adjusted Value: Balance Sheet Value			
1.10	Deposit with authorized intermediary against borrowed securities under SLB	0	0	0
	Net Adjusted Value: Balance Sheet Value			
1.11	Other Deposits and Prepayments	0	0	0
	100% of carrying value			
4 4 -	Net Adjusted Value: Nil			
1.12	Accrued Interest / Profit / Mark-up			
	Net Adjusted Value: Balance Sheet Value	01		^
	No Haircut may bel applied on amounts placed with Financial Institutions or Debt	0	0	0
	Securities etc.			
	Net Adjusted Value: Balance Sheet Value  100% in respect of markup accrued on loans to directors, subsidiaries and other	0	0	0
	related parties	υĮ	υĮ	U
	Net Adjusted Value: Nil			
1 13	Dividends Receivables	0	0	0
1.13	Net Adjusted Value: Balance Sheet Value	U	U <sub>I</sub>	0
1.14	Amounts receivable against Repo financing	0	0	0
	Amount paid as purchaser under the REPO agreement. Securities purchased under	<u> </u>	0	
	repo arrangement shall not be included in the investments			
	Net Adjusted Value: Balance Sheet Value			
	and the second s			

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	SETS			
.15 <b>A</b>	Advances and Receivables other than trade Receivables			
а	No haircut may be applied on the short term loan to employees provided these loans are secured and due for repayments within 12 months	22,707,076	0	22,707,076
	Net Adjusted Value: Adjusted Value			
c	) No haircut may be applied to the advance tax to the extent it is netted with provision of taxation	0	0	I
	Net Adjusted Value: Adjusted Value			
	i) In all other cases 100% of net value	0	0	
	let Adjusted Value: Adjusted Value Receivables from clearing house or securities exchange(s)	0	0	
1 s N	00% value of claims other than those on account of entitlements against trading of lecurities in all markets including MTM gains  Net Adjusted Value: Lower of net balance sheet value or value determined through indivistments	0	0	
	Receivables from Customers			
s d c	In case receivables are against Margin Financing (MF), the aggregate of (a) value of securities held in the blocked account after applying VAR based Haircut, (b) cash deposited as collateral by the financee (c) market value of any securities deposited as collateral after applying VAR based haircut.  Net Adjusted Value: Lower of net balance sheet value or value determined through adjustments	0	0	
ii	In case receivables are against Margin Trading (MTS), 5% of the net balance sheet alue	0	0	
N	Net Adjusted Value: Net amount after deducting haircut			
to	i) In case receivables are against Securities borrowings under SLB, the amount paid o NCCPL as collateral upon entering into contract  let Adjusted Value: Net amount after deducting haircut	0	0	
i\ b	v) In case of other trade receivables not more than 5 days overdue, 0% of the net palance sheet value	24,617,955	0	24,617,95
	Net Adjusted Value: Balance sheet value	40,400,404	7 000 040	7,000,04
a b c	(r) Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (r) the market value of securities purchased for customers and held in sub-accounts (r) the market value of securities by the respective customer (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the the market value of securities held as collateral after applying VAR based haircuts (r) the the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities held as collateral after applying VAR based haircuts (r) the market value of securities (r) the mark	49,468,194	7,668,343	7,668,34
v a a a b b	in in the case of amount of receivables from Related Parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner:  a) Up to 30 days, values determined after applying VAR based haircuts  b) Above 30 days but upto 90 days, values determined after applying 50% or VAR  c) assed haircuts whichever is higher  c) above 90 days 100% haircut shall be applicable  let Adjusted Value: Lower of net balance sheet value or value determined through adjustments	56,714,580	32,334,652	32,334,65
	Cash and Bank balances			
	Net Adjusted Value: Balance Sheet Value	07.405.407		07.405.40
1)	Bank Balance - Proprietary Accounts	37,185,167	0	37,185,16
	) Bank Balance - Customer / Client Accounts i) Cash in Hand	82,781,109 0	0	82,781,10
	Subscription money against investment in IPO/ offer for sale (asset)	U	U	
i) tl	hat shares have not been allotted or are not included in the investments of securities	0	0	
ii C	Net Adjusted Value: Balance sheet value or Net value after deducting haircuts	0	0	
ii ir F	i) In case of subscription in right shares where the shares have not yet been credited in CDS account, 15% or VAR based haircut whichever is higher, will be applied on Right Shares  Let Adjusted Value: Balance sheet value or Net value after deducting haircuts	0	0	
	TOTAL ASSETS	471,268,774	89,771,172	355,320,81
LIA	BLITIES			
1 <b>T</b>	Frade Payables  Net Adjusted Value: Balance Sheet value			
	i) Payable to exchanges and clearing house	0	0	
		0	0	
	ii) Payable against leveraged market products	01	0	

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2. LIABLIT				
	nt Liabilities			
	ljusted Value: Balance Sheet value	-1		
	utory and regulatory dues	70 274 444	0	
	cruals and other payables ort-term borrowings	70,371,144	0	70,371,14
	rrent portion of subordinated loans	0	0	
	rrent portion of long term liabilities	0	0	
	ferred Liabilities	0	0	
	ovision for taxation	0	0	
	ther liabilities as per accounting principles and included in the financial	0	0	
statem				
	turrent Liabilities		0	
100%	g-Term financing haircut may be allowed against long term portion of financing obtained from a	0	0	
financi	al institution including amount due against finance leases			
	ljusted Value: Balance sheet value as the case maybe			
	er liabilities as per accounting principles and included in the financial statements	0	0	
Net Ad	ljusted Value: Balance Sheet value			
	ff retirement benefits	0	0	
	ljusted Value: Balance Sheet value		0.1	
	dinated Loans	0	0	
l l	of Subordinated loans which fulfill the conditions specified by SECP are allowed leducted			
	ljusted Value: Net value after deducting adjustments			
.5 Advan	ice against shares for Increase in Capital of Securities broker:	0	0	
	haircut may be allowed in respect of advance against shares if:	<u> </u>	<u> </u>	
	existing authorized share capital allows the proposed enhanced share capital			
	rd of Directors of the company has approved the increase in capital			
	evant Regulatory approvals have been obtained			
	re is no unreasonable delay in issue of shares against advance and all regulatory			
	ements relating to the increase in paid up capital have been completed			
	itor is satisfied that such advance is against the increase of capital			
Net Ad	ljusted Value: Net value after deducting adjustments  TOTAL LIABLITIES	452 442 400	0	4E2 442 4
DANIZIN	G LIABLITIES	153,113,199	<u> </u>	153,113,19
		- I		
3.1 Conce	entration in Margin Financing	0	0	
	mount calculated on client-to-client basis by which any amount receivable from			
finance	the financees exceed 10% of the aggregate of amounts receivable from total			
	ded that above prescribed adjustments shall not be applicable where the			
	gate amount of receivable against margin financing does not exceed Rs 5 million)			
Note: (	Only amount exceeding by 10% of each financee from aggregate amount shall be			
	ed in the ranking liabilities.			
NI A	ljusted Value: Amount determined through adjustment			
Net Ac				
.2 Conce	entration in securities lending and borrowing	0	0	
.2 Conce The an	entration in securities lending and borrowing mount by which the aggregate of	0	0	
The an	entration in securities lending and borrowing mount by which the aggregate of punt deposited by the borrower with NCCPL,	0	0	
The an a) Amo	entration in securities lending and borrowing mount by which the aggregate of bunt deposited by the borrower with NCCPL, h margins paid and	0	0	
The an a) Amo b) Cas c) The	entration in securities lending and borrowing mount by which the aggregate of punt deposited by the borrower with NCCPL, h margins paid and market value of securities pledged as margins exceed the 110% of the market	0	0	
The an a) Amo b) Cas c) The value of	entration in securities lending and borrowing mount by which the aggregate of punt deposited by the borrower with NCCPL, h margins paid and market value of securities pledged as margins exceed the 110% of the market of shares borrowed.	0	0	
2.2 Conce The an a) Amo b) Cas c) The value o (Note o	entration in securities lending and borrowing mount by which the aggregate of punt deposited by the borrower with NCCPL, h margins paid and market value of securities pledged as margins exceed the 110% of the market of shares borrowed. only amount exceeding by 110% of each borrower from market value of shares	0	0	
.2 Conce The an a) Amo b) Cas c) The value of (Note of borrow	entration in securities lending and borrowing mount by which the aggregate of punt deposited by the borrower with NCCPL, h margins paid and market value of securities pledged as margins exceed the 110% of the market of shares borrowed.	0	0	
.2 Conce The an a) Amo b) Cas c) The value o (Note o borrow Net Ad	entration in securities lending and borrowing mount by which the aggregate of count deposited by the borrower with NCCPL, h margins paid and market value of securities pledged as margins exceed the 110% of the market of shares borrowed. conly amount exceeding by 110% of each borrower from market value of shares wed shall be included in the ranking liabilities)	0	0	
.2 Conce The an a) Amo b) Cas c) The value o (Note o borrow Net Ad .3 Net un i) in the	entration in securities lending and borrowing mount by which the aggregate of punt deposited by the borrower with NCCPL, h margins paid and market value of securities pledged as margins exceed the 110% of the market of shares borrowed. only amount exceeding by 110% of each borrower from market value of shares wed shall be included in the ranking liabilities) lijusted Value: Amount determined through adjustment inderwriting Commitments e case of right issues:	0	0	
.2 Conce The an a) Amo b) Cas c) The value c (Note c borrow Net Ad .3 Net un i) in the	entration in securities lending and borrowing mount by which the aggregate of punt deposited by the borrower with NCCPL, h margins paid and market value of securities pledged as margins exceed the 110% of the market of shares borrowed. only amount exceeding by 110% of each borrower from market value of shares ligusted Value: Amount determined through adjustment inderwriting Commitments			
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#### **LIQUID CAPITAL STATEMENT AS ON 30-09-2023**

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3. RANKING LIABLITIES			
3.7 Repo adjustment	0	0	0
In the case of financier/purchaser the total amount receivable under Repo less the			
110% of the market value of underlying securities. In the case of financee/seller the			
market value of underlying securities after applying haircut less the total amount			
received ,less value of any securities deposited as collateral by the purchaser after			
applying haircut less any cash deposited by the purchaser.			
Net Adjusted Value: Amount determined through adjustment			
3.8 Concentrated proprietary positions	0	0	0
If the market value of any security is between 25% and 51% of the total proprietary			
positions then 5% of the value of such security .If the market of a security exceeds 51%			
of the proprietary position, then 10% of the value of such security.			
Net Adjusted Value: Amount determined through adjustment			
3.9 Opening Positions in futures and options			
i) In case of customer positions, the total margin requirements in respect of open	0	0	0
positions less the amount of cash deposited by the customer and the value of			
securities held as collateral/ pledged with securities exchange after applying VAR			
haircuts.			
Net Adjusted Value: Amount determined through adjustment		-1	
ii) In case of proprietary positions , the total margin requirements in respect of open	0	0	0
positions to the extent not already met			
Net Adjusted Value: Amount determined through adjustment			
3.10 Short Sell Positions			
i) Incase of customer positions, the market value of shares sold short in ready market	0	0	0
on behalf of customers after increasing the same with the VAR based haircuts less the			
cash deposited by the customer as collateral and the value of securities held as			
collateral after applying VAR based Haircuts			
Net Adjusted Value: Amount determined through adjustment			0
ii) Incase of proprietary positions, the market value of shares sold short in ready market	0	0	0
and not yet settled increased by the amount of VAR based haircut less the value of			
securities pledged as collateral after applying haircuts.			
Net Adjusted Value: Amount determined through adjustment  TOTAL RANKING LIABLITIES	0	0	0
	318,155,575	89,771,172	202,207,619
TOTAL LIQUID CAPITAL	310,133,373	09,771,172	202,207,619

Ratios to be Maintained	Value	TIMES OF LC
1. The Total Liablities including Ranking Liablities shall not exceed 10 times of Liquid Capital	153,113,199	0.76
2. The Short Term Liablities shall not exceed 5 times of Liquid Capital	70,371,144	0.35
3. The Trade Receiveables shall not exceed 2 times of Liquid Capital	32,286,298	0.16