LIQUID CAPITAL STATEMENT AS ON 30-09-2024

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1 Δ9	SSETS			
	Property & Equipment	25,840,332	25,840,332	0
1.1	100% of Net Value	20,040,002	20,040,002	
	Net Adjusted Value: Nil			
1.2	Intangible Assets	2,500,000	2,500,000	0
	100% of Net Value			
	Net Adjusted Value: Nil			
1.3	Investment in Government Securities	0	0	0
	Diferenct between book value and sale value on the date on the basis of PKRV published bt NIFT			
	Net Adjusted Value: Sale value on the date on the basis of PKRV published by NIFT			
1.4	Investment in Debt Securities			
1	If listed then:			
	i) 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	ii) 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	iii) 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	If unlisted then: i) 10% of the balance sheet value in the case of tenure upto 1 year	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts	U	U	0
	ii 12.5% of the balance sheet value, in the case of tenure from 1-3 years	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts	<u> </u>	<u> </u>	0
	iii) 15% of the balance sheet value, in the case of tenure of more than 3 years	0	0	0
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
1.5	Investment in Equity Securities			
	i) If listed then 15% or VAR of each security on the cutoff date as computed by the	103,412,238	15,826,619	87,585,619
	NCCPL for respective security whichever is higher. (Provided that if any of these			
	securities are pledged with the securities exchange for maintaining base minimum			
	capital requirement, 100% haircut on the value of eligible securities to the extent of			
	minimum required value of Base minimum capital			
	Net Adjusted Value: Net amount after deducting provisions and haircuts ii) If unlisted then 100% of carrying value	0	0	0
1	Net Adjusted Value: Net amount after deducting provisions and haircuts	0	0	0
1.6	Investment in Subsidiaries	0	0	0
	100% of net value			
	Net Adjusted Value: Nil			
1.7	Investment in Associated Companies / Undertaking			
	(i) If listed then 20% or VAR of each securities as computed by the Securities	0	0	0
	Exchange for respective securities whichever is higher.			
	Net Adjusted Value: Net amount after deducting provisions and haircuts	0	0	0
	(ii) If unlisted then 100% of net value.	0	0	0
1.8	Net Adjusted Value: Net amount after deducting provisions and haircuts Statutory or Regulatory Deposits / Basic Deposits with the Exchanges, Clearing	1.500.000	1,500,000	0
1.0	House or Central Depository Company or any other entity	1,300,000	1,300,000	0
	100% of net value, however any excess amount of cash deposited with securities			
	exchange to comply with requirements of base minimum capital may be taken in the			
	calculation of Liquid Capital			
	Net Adjusted Value: Nil [or any excess cash amount]			
1.9	Margin Deposits with Exchange and Clearing House	127,233,236	0	127,233,236
	Net Adjusted Value: Balance Sheet Value			
1.10	Deposit with authorized intermediary against borrowed securities under SLB	0	0	0
	Net Adjusted Value: Balance Sheet Value			
1.11	Other Deposits and Prepayments	0	0	0
	100% of carrying value			
4.40	Net Adjusted Value: Nil			
1.12	Accrued Interest / Profit / Mark-up			
	Net Adjusted Value: Balance Sheet Value No Haircut may bel applied on amounts placed with Financial Institutions or Debt	0	0	0
	Securities etc.	U	U	0
	Net Adjusted Value: Balance Sheet Value			
	100% in respect of markup accrued on loans to directors, subsidiaries and other	0	0	0
	related parties		- 1	
	Net Adjusted Value: Nil			
1.13	Dividends Receivables	0	0	0
	Net Adjusted Value: Balance Sheet Value			
1 14	Amounts receivable against Repo financing	0	0	0
	Amount noid as nurshassy under the DEDO saysament Copyrities nurshassy under			
	Amount paid as purchaser under the REPO agreement. Securities purchased under			
	repo arrangement shall not be included in the investments Net Adjusted Value: Balance Sheet Value			

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1. ASS	ETS			
1.15 A d	dvances and Receivables other than trade Receivables			
i) ar	No haircut may be applied on the short term loan to employees provided these loans e secured and due for repayments within 12 months	23,620,216	0	23,620,216
ii)	et Adjusted Value: Adjusted Value No haircut may be applied to the advance tax to the extent it is netted with provision taxation	0	0	(
Ne	et Adjusted Value: Adjusted Value In all other cases 100% of net value	0	0	(
Νέ	et Adjusted Value: Adjusted Value			
10 se Ne ac	eceivables from clearing house or securities exchange(s) 10% value of claims other than those on account of entitlements against trading of curities in all markets including MTM gains 1 Adjusted Value: Lower of net balance sheet value or value determined through justments	0	0	(
	eceivables from Customers In case receivables are against Margin Financing (MF), the aggregate of (a) value of	0	0	С
se de co Ne	curities held in the blocked account after applying VAR based Haircut, (b) cash posited as collateral by the financee (c) market value of any securities deposited as illateral after applying VAR based haircut et Adjusted Value: Lower of net balance sheet value or value determined through justments	0	ŭ	
ii) va	In case receivables are against Margin Trading (MTS), 5% of the net balance sheet lue	0	0	C
	et Adjusted Value: Net amount after deducting haircut			
to	In case receivables are against Securities borrowings under SLB, the amount paid NCCPL as collateral upon entering into contract at Adjusted Value: Net amount after deducting haircut	0	0	C
iv) ba	In case of other trade receivables not more than 5 days overdue, 0% of the net lance sheet value	72,312,166	0	72,312,166
	et Adjusted Value: Balance sheet value Incase of other trade receivables are overdue, or 5 days or more, the aggregate of	55,003,460	332,805	332,805
aff b) c) Ne ac	the market value of securities purchased for customers and held in sub-accounts ter applying VAR based haircuts cash deposited as collateral by the respective customer the market value of securities held as collateral after applying VAR based haircuts at Adjusted Value: Lower of net balance sheet value or value determined through justments			
ap ac a) b) ba c)	In the case of amount of receivables from Related Parties, values determined after plying applicable haircuts on underlying securities readily available in respective CDS acount of the related party in the following manner: Up to 30 days, values determined after applying VAR based haircuts Above 30 days but upto 90 days, values determined after applying 50% or VAR used haircuts whichever is higher above 90 days 100% haircut shall be applicable at Adjusted Value: Lower of net balance sheet value or value determined through justments	47,121,914	0	C
	ash and Bank balances			
	et Adjusted Value: Balance Sheet Value	20 200 500	0	20 200 500
	Bank Balance - Proprietary Accounts Bank Balance - Customer / Client Accounts	30,309,588 343,621,481	0	30,309,588 343,621,481
	Cash in Hand	0	0	(
	ubscription money against investment in IPO/ offer for sale (asset)			
th	No haircut may be applied in respect of amount paid as subscription money provided at shares have not been allotted or are not included in the investments of securities oker	0	0	(
ii) CI	et Adjusted Value: Balance sheet value or Net value after deducting haircuts In case of Investment in IPO where shares have been allotted but not yet credited in DS Account, 25% haircuts will be applicable on the value of such securities	0	0	(
	et Adjusted Value: Balance sheet value or Net value after deducting haircuts			
in Ri	In case of subscription in right shares where the shares have not yet been credited CDS account, 15% or VAR based haircut whichever is higher, will be applied on ght Shares et Adjusted Value: Balance sheet value or Net value after deducting haircuts	0	0	(
1140	TOTAL ASSETS	832,474,631	45,999,756	685,015,111
2. LIAB	LITIES	202, 1,001	,,	300,310,11
	ade Payables			
	et Adjusted Value: Balance Sheet value			
(i)	Payable to exchanges and clearing house	0	0	(
	Payable against leveraged market products	0	0	(
(iii) Payable to customers	362,096,359	0	362,096,359

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2.2 Cur r	ITIES			
	rent Liabilities			
	Adjusted Value: Balance Sheet value			
	statutory and regulatory dues	0 106,512,721	0	106,512,72
	Accruals and other payables Short-term borrowings	0	0	100,512,72
	Current portion of subordinated loans	0	0	
	Current portion of long term liabilities	0	0	
	Deferred Liabilities	0	0	
	Provision for taxation	0	0	
(viii)	Other liabilities as per accounting principles and included in the financial	0	0	
	ements			
	-Current Liabilities	<u>_</u>		
	ong-Term financing	3,514,701	0	3,514,70
1009	% haircut may be allowed against long term portion of financing obtained from a			
	ncial institution including amount due against finance leases			
	Adjusted Value: Balance sheet value as the case maybe ther liabilities as per accounting principles and included in the financial statements	0	0	
	Adjusted Value: Balance Sheet value	U	U	
	Staff retirement benefits	0	0	
	Adjusted Value: Balance Sheet value	01	0	
	ordinated Loans	0	0	
	% of Subordinated loans which fulfill the conditions specified by SECP are allowed		-	
	e deducted			
Net /	Adjusted Value: Net value after deducting adjustments			
2.5 Adv	ance against shares for Increase in Capital of Securities broker:	0	0	
	% haircut may be allowed in respect of advance against shares if:	<u>'</u>	'	
	he existing authorized share capital allows the proposed enhanced share capital			
	oard of Directors of the company has approved the increase in capital			
	elevant Regulatory approvals have been obtained			
	here is no unreasonable delay in issue of shares against advance and all regulatory			
	irements relating to the increase in paid up capital have been completed			
	uditor is satisfied that such advance is against the increase of capital			
Net /	Adjusted Value: Net value after deducting adjustments		. 1	
	TOTAL LIABLITIES	472,123,781	0	472,123,78
. RANKI	ING LIABLITIES			
3.1 Con	centration in Margin Financing	0	0	
The	amount calculated on client-to-client basis by which any amount receivable from			
	of the financees exceed 10% of the aggregate of amounts receivable from total			
	nces.			
(Pro	vided that above prescribed adjustments shall not be applicable where the			
aggr	regate amount of receivable against margin financing does not exceed Rs 5 million)			
NIA+-	e: Only amount exceeding by 10% of each financee from aggregate amount shall be			
inclu	uded in the ranking liabilities.			
inclu Net	uded in the ranking liabilities. Adjusted Value: Amount determined through adjustment			
inclu Net / 3.2 Con	aded in the ranking liabilities. Adjusted Value: Amount determined through adjustment accentration in securities lending and borrowing	0	0	
inclu Net A 3.2 Con The	Adjusted Value: Amount determined through adjustment amount by which the aggregate of	0	0	
inclu Net A 3.2 Con The a) A	Adjusted Value: Amount determined through adjustment amount by which the aggregate of mount deposited by the borrower with NCCPL,	0	0	
inclu Net A 3.2 Con The a) Ai b) Ci	Adjusted Value: Amount determined through adjustment acentration in securities lending and borrowing amount by which the aggregate of mount deposited by the borrower with NCCPL, ash margins paid and	0	0	
inclu Net A 3.2 Con The a) Ai b) Ci c) Th	Adjusted Value: Amount determined through adjustment Incentration in securities lending and borrowing Incentration in securities lending and bor	0	0	
inclu Net A 3.2 Con The a) Ai b) Ca c) Th value	Adjusted Value: Amount determined through adjustment Adjusted Value: Amount determined through adjustment Accentration in securities lending and borrowing Amount by which the aggregate of Amount deposited by the borrower with NCCPL, Assh margins paid and And the market value of securities pledged as margins exceed the 110% of the market e of shares borrowed.	0	0	
inclu Net 2 3.2 Con The a) Ai b) Ci c) Th value (Note	Adjusted Value: Amount determined through adjustment acentration in securities lending and borrowing amount by which the aggregate of mount deposited by the borrower with NCCPL, ash margins paid and the market value of securities pledged as margins exceed the 110% of the market e of shares borrowed. the only amount exceeding by 110% of each borrower from market value of shares	0	0	
inclu Net 2 6.2 Con The a) Ai b) Ci c) Th value (Note borre	Adjusted Value: Amount determined through adjustment acentration in securities lending and borrowing amount by which the aggregate of mount deposited by the borrower with NCCPL, ash margins paid and the market value of securities pledged as margins exceed the 110% of the market e of shares borrowed. the only amount exceeding by 110% of each borrower from market value of shares towed shall be included in the ranking liabilities)	0	0	
inclu Net A 3.2 Con The a) Ai b) Ci c) Th value (Note borre Net A	Adjusted Value: Amount determined through adjustment Incentration in securities lending and borrowing Incentration in securities lending and borrower with NCCPL, Incentration in securities lending and borrowing Incentration in securities lending and borrowing	0	0	
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inclu Net A 3.2 Con The a) Ai b) Ci c) Th value (Note borre Net A 3.3 Net i) in if the aggr a) 50 b) th	Adjusted Value: Amount determined through adjustment acentration in securities lending and borrowing amount by which the aggregate of mount deposited by the borrower with NCCPL, sash margins paid and the market value of securities pledged as margins exceed the 110% of the market e of shares borrowed. the only amount exceeding by 110% of each borrower from market value of shares owed shall be included in the ranking liabilities) Adjusted Value: Amount determined through adjustment underwriting Commitments the case of right issues: the market value of securities is less than or equal to the subscription price, the regate of:			
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inclu Net // Con The a) Ar b) Cr c) Th value (Note borre Net // i) in if the aggr a) 50 b) th secun com Net // ii) in	Adjusted Value: Amount determined through adjustment acentration in securities lending and borrowing amount by which the aggregate of mount deposited by the borrower with NCCPL, ash margins paid and he market value of securities pledged as margins exceed the 110% of the market e of shares borrowed. the only amount exceeding by 110% of each borrower from market value of shares owed shall be included in the ranking liabilities) Adjusted Value: Amount determined through adjustment underwriting Commitments the case of right issues: the market value of securities is less than or equal to the subscription price, the regate of: 0% of Haircut multiplied by the underwriting commitments and the value by which the underwriting commitments exceeds the market price of the urities. In the case of rights issues where the market price of securities is greater in the subscription price, 5% of the Haircut multiplied by the net underwriting unitiment Adjusted Value: Amount determined through adjustment any other case:			
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LIQUID CAPITAL STATEMENT AS ON 30-09-2024

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3. RANKING LIABLITIES			
3.7 Repo adjustment	0	0	0
In the case of financier/purchaser the total amount receivable under Repo less the	•		
110% of the market value of underlying securities. In the case of financee/seller the			
market value of underlying securities after applying haircut less the total amount			
received ,less value of any securities deposited as collateral by the purchaser after			
applying haircut less any cash deposited by the purchaser.			
Net Adjusted Value: Amount determined through adjustment			
3.8 Concentrated proprietary positions	0	0	0
If the market value of any security is between 25% and 51% of the total proprietary			
positions then 5% of the value of such security .If the market of a security exceeds 51%			
of the proprietary position, then 10% of the value of such security.			
Net Adjusted Value: Amount determined through adjustment			
3.9 Opening Positions in futures and options			
i) In case of customer positions, the total margin requirements in respect of open	0	0	0
positions less the amount of cash deposited by the customer and the value of			
securities held as collateral/ pledged with securities exchange after applying VAR			
haircuts.			
Net Adjusted Value: Amount determined through adjustment			
ii) In case of proprietary positions , the total margin requirements in respect of open	0	0	0
positions to the extent not already met			
Net Adjusted Value: Amount determined through adjustment			
3.10 Short Sell Positions			
i) Incase of customer positions, the market value of shares sold short in ready market	0	0	0
on behalf of customers after increasing the same with the VAR based haircuts less the			
cash deposited by the customer as collateral and the value of securities held as			
collateral after applying VAR based Haircuts			
Net Adjusted Value: Amount determined through adjustment			
ii) Incase of proprietary positions, the market value of shares sold short in ready market	0	0	0
and not yet settled increased by the amount of VAR based haircut less the value of			
securities pledged as collateral after applying haircuts.			
Net Adjusted Value: Amount determined through adjustment			
TOTAL RANKING LIABLITIES	0	0	0
TOTAL LIQUID CAPITAL	360,350,850	45,999,756	212,891,330

Ratios to be Maintained	Value	TIMES OF LC
1. The Total Liablities including Ranking Liablities shall not exceed 10 times of Liquid Capital	472,123,781	2.22
2. The Short Term Liablities shall not exceed 5 times of Liquid Capital	106,512,721	0.50
3. The Trade Receiveables shall not exceed 2 times of Liquid Capital	72,644,971	0.34